

## FORMALITIES LETTER



\*OC00000005031664\*

UNITED STATES DEPARTMENT OF COMMERCE  
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Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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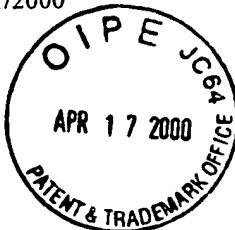
09/496,065

02/01/2000

N. Asokan

SL998-041

Anne Vachon Dougherty Esq  
IBM Corp  
3173 Cedar Rd  
Yorktown Heights, NY 10598



Date Mailed: 04/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/20/2000 TTRM1 00000030 500510 09496365  
01 FC:105 130.00 CH

*AK Delfos*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

N. Asokan, et al

Serial No. 09/496,065

Filed: February 1, 2000

Title: PERSONAL DEVICE, TERMINAL,  
SERVER AND METHODS FOR  
ESTABLISHING A TRUSTWORTHY  
CONNECTION BETWEEN A USER AND A TERMINAL



April 13, 2000

Group Art No.: 2766

Examiner: Not assigned

for IBM Corporation  
Anne Vachon Dougherty  
3173 Cedar Road  
Yorktown Hts, NY 10598

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Assignment and Recordation Form Cover Sheet

Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
Washington, DC 20231

on April 13, 2000.

Anne Vachon Dougherty

*Anne Vachon Dougherty*  
Signature of person mailing

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of  
N. Asokan, et al



April 13, 2000

Group Art No.: 2766

Serial No. 09/496,065 :

Examiner: Not assigned

Filed: February 1, 2000 :

for IBM Corporation  
Anne Vachon Dougherty  
3173 Cedar Road  
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Title: PERSONAL DEVICE, TERMINAL,  
SERVER AND METHODS FOR  
ESTABLISHING A TRUSTWORTHY  
CONNECTION BETWEEN A USER AND A TERMINAL

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to  
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))  
in the amount of \$130.00.

Fee in the amount of \$40.00 for recordation of Assignment.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty  
Name of authorized party

Anne Vachon Dougherty  
Signature of authorized party